



**CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS
FOR FOREIGN BANKS
APPENDIX A TO SUBPART I OF PART 103 –
(OMB Control Number 1505-0184)**

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

A. The undersigned financial institution, **MONTE PASCHI BANQUE SA** ("Foreign Bank") hereby certifies as follows :

B. Correspondent Accounts Covered by this Certification :

This Certification applies to all accounts established for **MONTE PASCHI BANQUE SA** by Covered Financial Institutions.

C. Physical Presence/Regulated Affiliate Status :

MONTE PASCHI BANQUE maintains a **physical presence** in **FRANCE**. That means:

- **MONTE PASCHI BANQUE SA** has head offices at the following street address: 11, Boulevard de la Madeleine - 75001 PARIS – FRANCE, and places of business listed in the attachment hereto, where **MONTE PASCHI BANQUE SA** employs about 279 individuals on a full-time basis and maintains operating records related to its banking activities.
- The addresses listed in the attachment hereto are in **FRANCE**, where **MONTE PASCHI BANQUE SA** is authorized to conduct banking activities.
- **MONTE PASCHI BANQUE SA** is subject to inspection by **AUTORITE DE CONTROLE PRUDENTIEL ET DE RESOLUTION - 61 rue Taitbout - 75436 PARIS Cedex 9 - FRANCE**, the banking authority that licensed **MONTE PASCHI BANQUE SA** to conduct banking activities.

D. Indirect Use of Correspondent Accounts :

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. **MONTE PASCHI BANQUE SA** hereby certifies that it does not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information :

MONTE PASCHI BANQUE SA has no **owner(s)** except as set forth below. For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, control, or has power to vote 25 percent or more of any class of voting securities or other voting interests of **MONTE PASCHI BANQUE**; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of **MONTE PASCHI BANQUE**. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or



individuals exercising similar functions); and(iii) members of the same family* shall be considered one **person**.

Name	Address
BANCA MONTE DEI PASCHI DI SIENA SpA	Piazza Salimbeni 3 53000 SIENA - ITALY

F. Process Agent :

The following individual or entity: BANCA MONTE DEI PASCHI DI SIENA New York Branch - New York City is a resident of the United States at the following street address : 55 East - 59th Str. - 9th Fl. - NEW YORK - N.Y. 10022 -1112, and is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

G. General

MONTE PASCHI BANQUE SA agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

MONTE PASCHI BANQUE SA understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. MONTE PASCHI BANQUE SA further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Daniele BASTIANELLI, certify

- that I have read and understand this Certification,
- that the statements made in this Certification are complete and correct,
- and that I am authorized to execute this Certification on behalf of MONTE PASCHI BANQUE SA.


MONTE PASCHI BANQUE
Daniele BASTIANELLI
General Manager

Executed on this 2d day of June 2017

* The same family means parents, spouses, children siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

ATTACHMENT TO MONTE PASCHI BANQUE SA US PATRIOT ACT CERTIFICATION

MONTE PASCHI BANQUE SA	ADDRESS			BANKING AUTHORITY	
	STREET	CITY	ZIP CODE	COUNTRY	
Siège (Head Office)	11 boulevard de la Madeleine	PARIS	F 75001	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	22 cours Mirabeau	AIX EN PROVENCE	F 13100	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	28 avenue Robert Soleau	ANTIBES	F 06600	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	20 rue d'Antibes	CANNES	F 06400	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	Le Paladien - 105 avenue de Port Fréjus	FREJUS	F 83600	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	55 avenue Maréchal de Saxe	LYON	F 69003	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	28 rue Grignan	MARSEILLE	F 13001	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	25 avenue de Verdun	MENTON	F 06500	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	13 rue Alphonse Karr	NICE	F 06000	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	2 place Masséna	NICE	F 06000	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	13-15 boulevard de la Madeleine	PARIS	F 75001	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	3 avenue de l'Opéra	PARIS	F 75001	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	203 boulevard Saint-Germain	PARIS	F 75007	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	1 avenue Percier	PARIS	F 75008	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	43 rue du 22 Novembre	STRASBOURG	F 67000	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9
MONTE PASCHI BANQUE	24 boulevard Lazare Carnot	TOULOUSE	F 31000	FRANCE	AUTORITE DE CONTRÔLE PRUDENTIEL ET DE RESOLUTION - 61 Rue Taitbout - F75436 Paris Cedex 9